

# Towards scalable partial evaluation of declarative programs

**Germán Vidal**

Technical University of Valencia, Spain

{ *Joint work with Michael Leuschel, U. Düsseldorf, Germany* }

**LOPSTR–PPDP 2009**

September 9, 2009  
Coimbra, Portugal

# Outline

## 1 introduction

- partial evaluation
- applications
- internals

## 2 termination analysis

- introduction
- construction of size-change graphs
- computation of composition closure
- identification of program loops

## 3 a fully automatic BTA

- local termination
- ensuring global termination

## 4 Concluding remarks

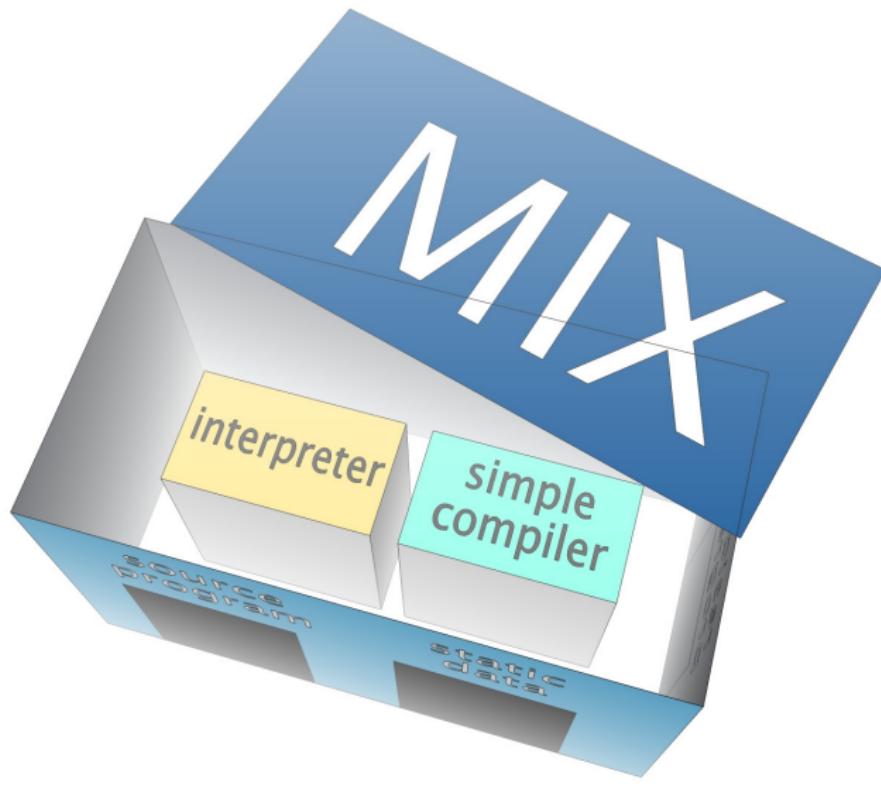
# What is partial evaluation?

## Definition

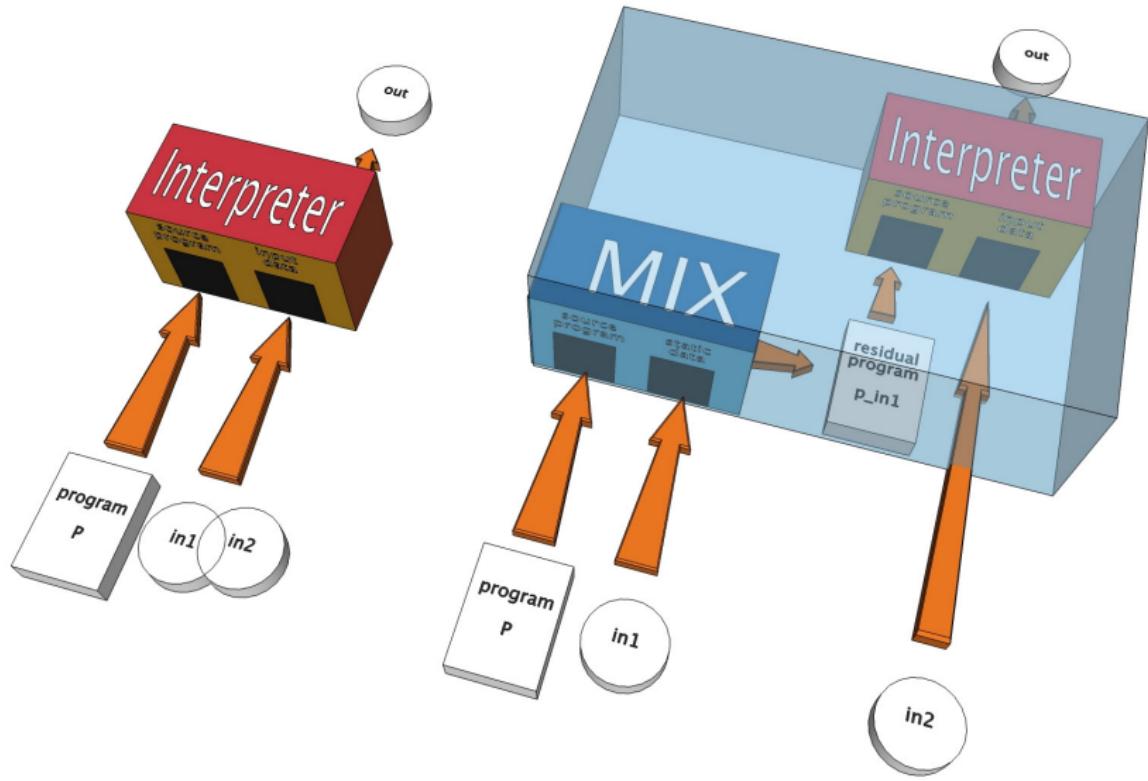
A partial evaluator takes a program and **part** of its input data (static data) and returns a **new**, specialized program



# What is partial evaluation?



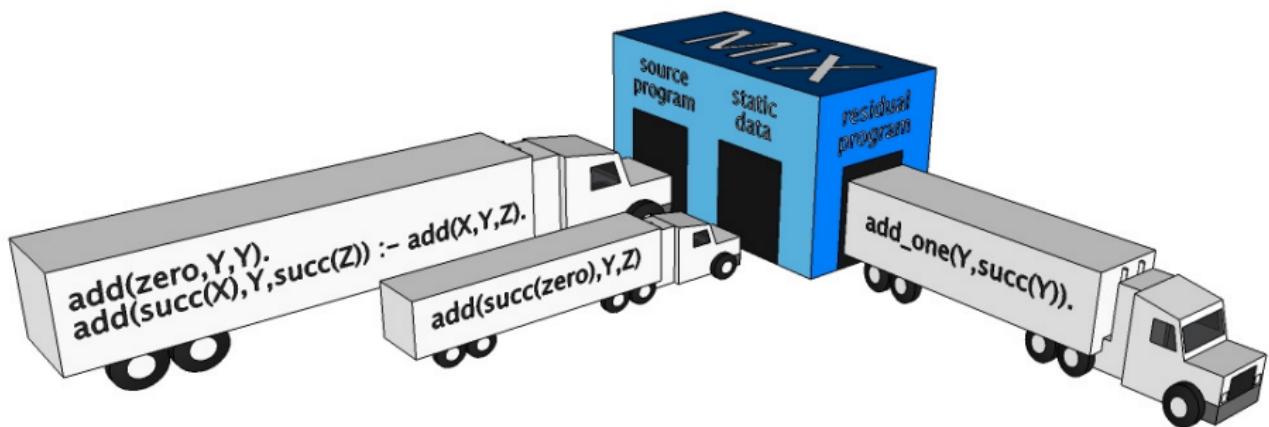
# Correctness of partial evaluation



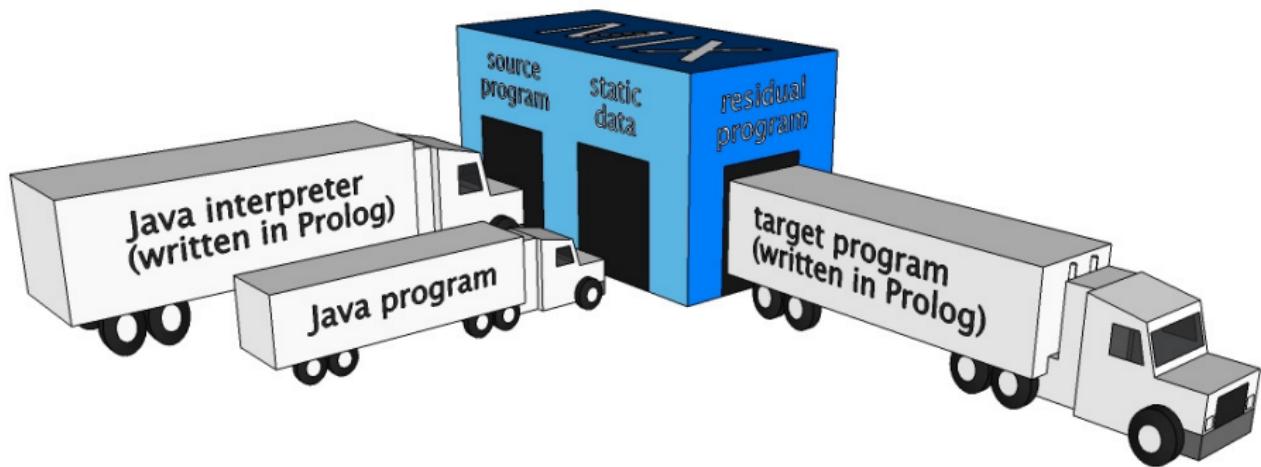
# Some applications of partial evaluation



# Program specialization

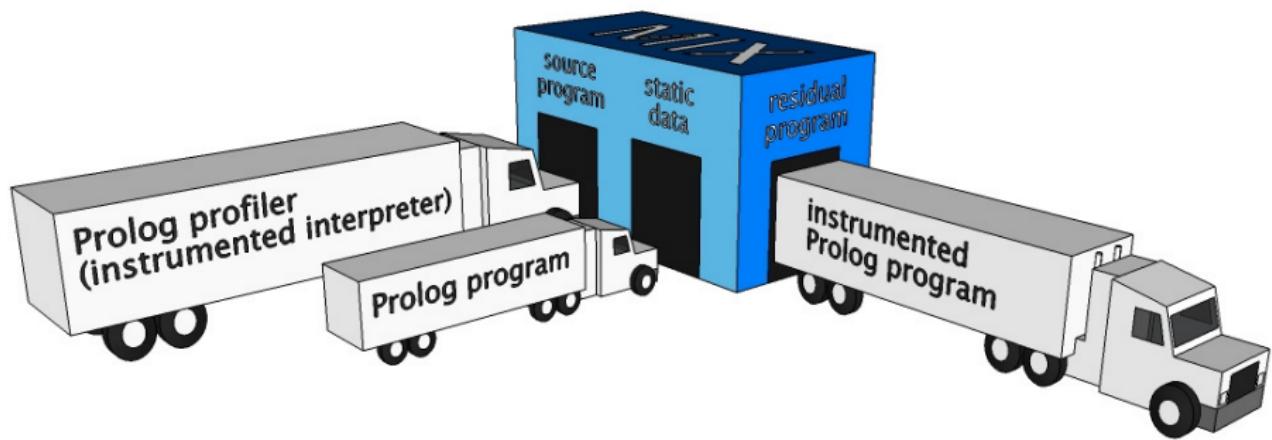


# (De)compilation



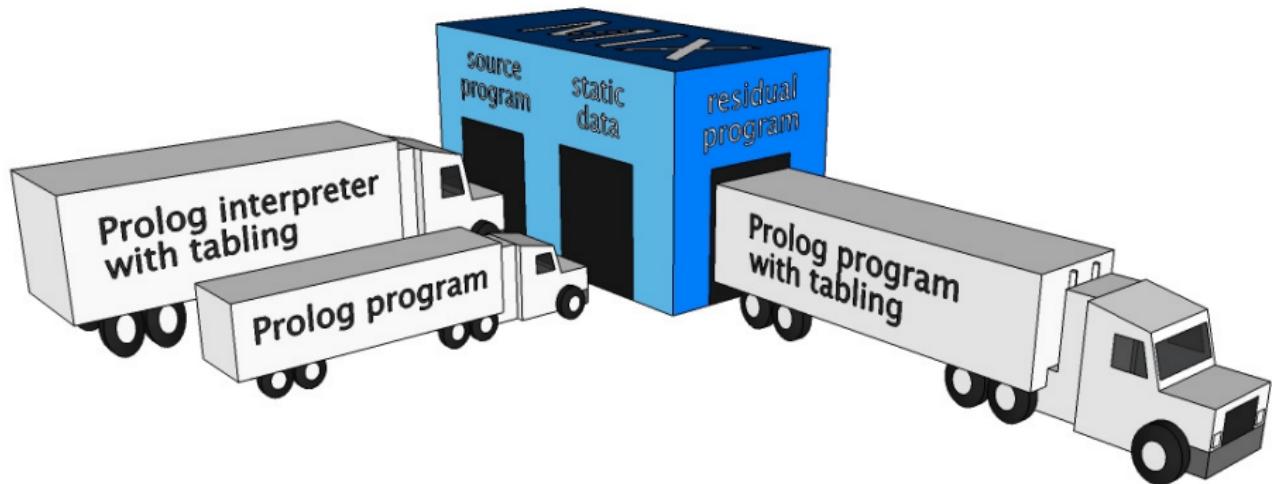
(e.g., interpretive decompilation [Albert et al., PADL 2007])

# Program instrumentation



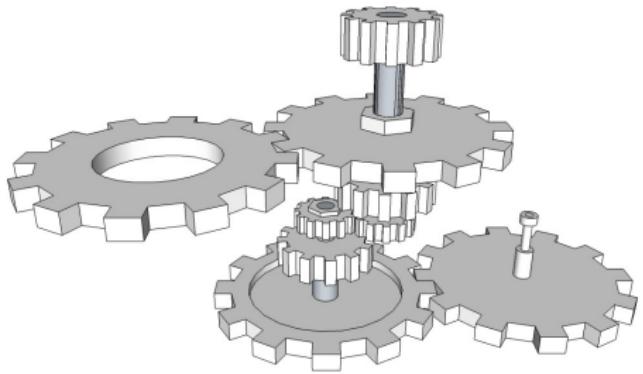
(e.g., semantics directed program execution monitoring [Kishon & Hudak, JFP 1995])

# Optimized evaluation



(e.g., driving in the jungle [Secher, PADO 2001])

# Internals of a partial evaluator

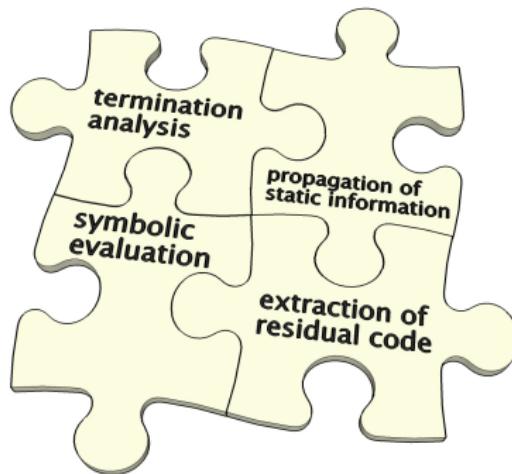


# Elements of partial evaluation

*to ensure  
the finiteness of  
partial evaluation*

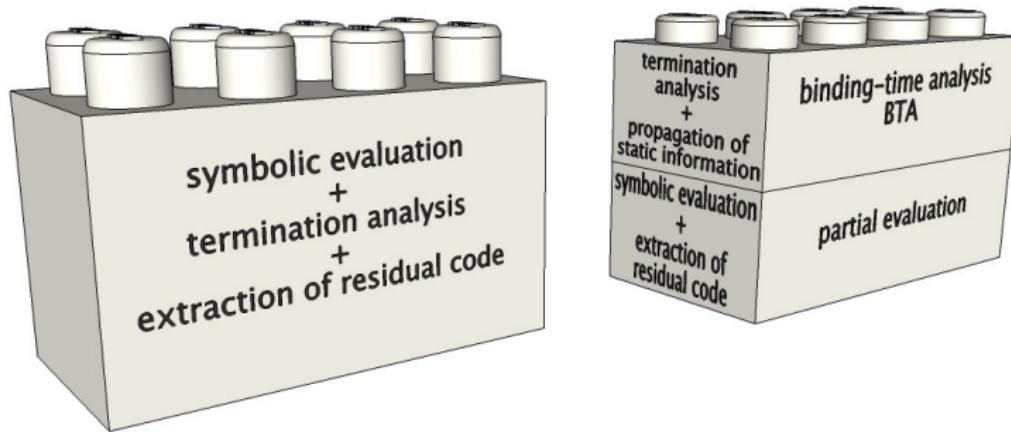
*to evaluate  
expressions with  
missing informa-  
tion (variables)*

*to propagate  
known informa-  
tion through the  
entire program*



*to extract the  
residual program  
from partial  
computations*

# Online vs offline



- more accurate
- less efficient
- less accurate
- more efficient

# Binding-time analysis & partial evaluation

The BTA annotates the source program:

- every call is annotated with **unfold/memo**
- every parameter is annotated with **static/dynamic**

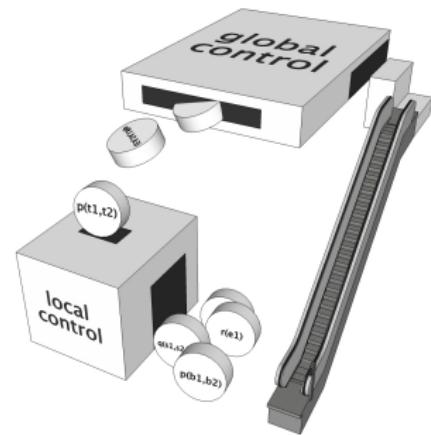
## partial evaluation phase

- ① take a call and unfold it as much as possible  
(following the unfold/memo annotations) local control
- ② for every call in the leaves global control
  - generalize arguments marked as dynamic
  - add it to the set of calls to be partially evaluated
- ③ go to step (1)

# Safeness of BTA annotations

The annotations inferred by the BTA are safe if

- **local termination** is ensured  
(i.e., no call is infinitely unfolded)
- **global termination** is ensured  
(i.e., no infinitely many calls are partially evaluated)
- all parameters marked as **static** are actually known at partial evaluation time



# Example

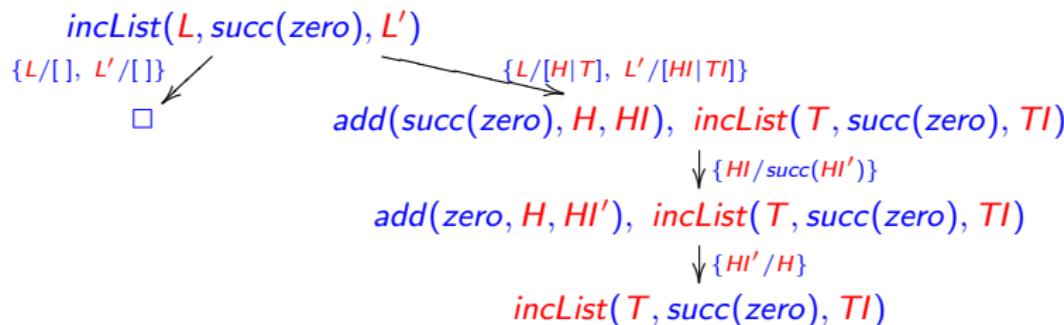
## source program

```

incList([], I, []).
incList([H|T], I, [HI|TI]) ← add(I, H, HI),
                                incList(T, I, TI).
add(zero, Y, Y).
add(succ(X), Y, succ(Z)) ← add(X, Y, Z).

```

## partial evaluation



## residual program

```

incListone([], []).      incListone([H|T], [succ(H)|TI]) ← incListone(T, TI).

```

# Scheme of a simple BTA

- ➊ initially all calls marked as **unfold**
- ➋ propagation of static information
- ➌ termination analysis (for a particular selection or evaluation strategy)
- ➍ if termination of a call cannot be ensured given the inferred static information, then change annotation to **memo** and go to step (2)

## program

$p(X, Y) \leftarrow q(X, Z), r(Z, Y).$   
 ...

## propagation of static info

$p(X, Z) \xleftarrow{\quad} q(X, Y), \xrightarrow{\quad} r(Y, Z).$   
 ...

$p(X, Z) \xleftarrow{\quad} q(X, Y), \xrightarrow{\quad} r(Y, Z).$   
 ...

## initialization

$p(\text{static}, \text{dynamic})$   
 $p \mapsto \text{unfold}, q \mapsto \text{unfold}$

## termination analysis

$p \mapsto \text{unfold}, r \mapsto \text{unfold}$   
 $q \mapsto \text{memo}$

$p \mapsto \text{unfold}$   
 $q \mapsto \text{memo}, r \mapsto \text{memo}$

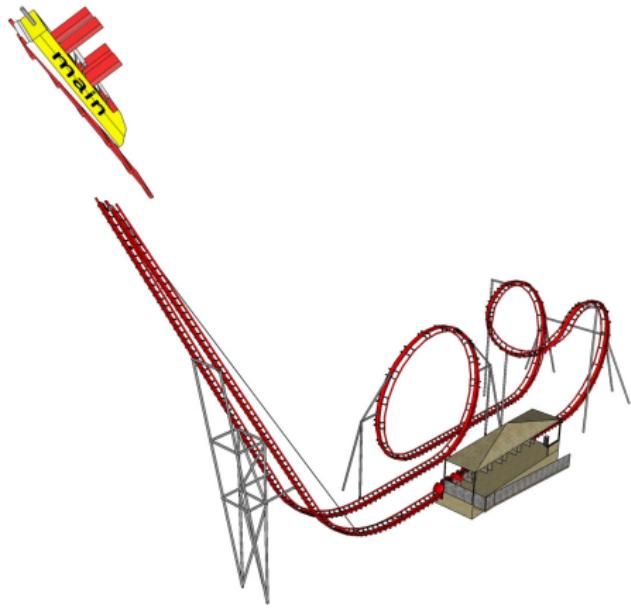
# Our approach

- ① keep the termination analysis and the propagation of static information independent
- ② use a **strong** termination analysis  
[independent of selection or evaluation strategy]
- ③ implement efficient algorithms (e.g., hashing)
- ④ improve accuracy as much as possible
  - order of evaluation partially known
  - online annotations
  - user annotations

Remainder of the talk... designing a fully automatic BTA

- termination analysis
  - size-change graphs
  - composition closure
- program annotation

# termination analysis



# Termination and quasi-termination

## Terminating computation

- finite number of states
- E.g.,  $\text{nat}(s(s(0))) \rightarrow \text{nat}(s(0)) \rightarrow \text{nat}(0) \rightarrow \square$

with

$$\begin{array}{l} \text{nat}(0). \\ \text{nat}(s(X)) \leftarrow \text{nat}(X). \end{array}$$

## Quasi-terminating computation

- finite number of **different** states
- E.g.,  $\text{nat}(X) \rightarrow_{\{X/s(X')\}} \text{nat}(X') \rightarrow_{\{X'/s(X'')\}} \text{nat}(X'') \rightarrow \dots$

# Termination analysis & program annotations

## Termination

- if a call is terminating  
annotate it with **unfold**, otherwise with **memo**

## Quasi-termination

- if a call is quasi-terminating  
annotate all its arguments with **static**  
otherwise annotate the (possibly) increasing arguments with **memo**  
(will be generalized in the global level)
- E.g.,  $\text{reverse}(L, L') \rightarrow \text{rev}(L, [], L')$   $\rightarrow_{\{L/[H|T]\}} \text{rev}(T, [H], L') \rightarrow \dots$

with

$\text{reverse}(L, L') \leftarrow \text{rev}(L, [], L').$   
 $\text{rev}([], A, A).$   
 $\text{rev}([H|T], A, L) \leftarrow \text{rev}(T, [H|A], L).$

therefore

$\text{rev}(\text{static}, \text{dynamic}, \text{static})$

# Size-change analysis of logic programs

## Main features

- adapted from [Lee, Jones, Ben-Amram, POPL 2001], [Lindenstrauss, Sagiv, ICLP 1997], etc
- consider both strong termination and quasi-termination
- appropriate for BTA and partial evaluation

### size-change analysis

- ① construction of size-change graphs
- ② computation of composition closure

# Construction of size-change graphs



# Construction of size-change graphs

Size-change graphs are used to trace size changes of predicate arguments from one call to another

We construct a size-change graph for every call in the program

```
incList([], _, []).                                add(0, Y, Y).  
incList([X|R], I, L)   ← iList(X, R, I, L).    add(s(X), Y, s(Z)) ← add(X, Y, Z).  
iList(X, R, I, [XI|RI]) ← add(I, X, XI),  
                           incList(R, I, RI).
```

Here, we construct four size-change graphs:

$\text{incList} \mapsto \text{iList}$ ,     $\text{iList} \mapsto \text{add}$ ,     $\text{iList} \mapsto \text{incList}$ ,     $\text{add} \mapsto \text{add}$

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Size-change graphs are parameterized by a **reduction pair** ( $\lesssim, \succ$ )  
 [Thiemann, Giesl, AAECC 2005]:

- ①  $\lesssim$  is a **quasi-order** [reflexive & transitive]
- ②  $\succ$  is a **well-founded order** [irreflexive & transitive]
- ③  $\lesssim$  and  $\succ$  are **closed under substitutions** [ $s \lesssim t \Rightarrow \sigma(s) \lesssim \sigma(t)$ ]
- ④ they are **compatible**  
 (i.e.,  $\lesssim \circ \succ \subseteq \succ$  and  $\succ \circ \lesssim \subseteq \succ$  but  $\lesssim \subseteq \succ$  is not necessary)

which can be induced from a **symbolic norm**  $\| \cdot \|$ :

$$s \succ t \Leftrightarrow \|s\| > \|t\| \quad \text{and} \quad s \lesssim t \Leftrightarrow \|s\| \geq \|t\|$$

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# Symbolic norms

## symbolic term-size norm

$$\|t\|_{ts} = \begin{cases} n + \sum_{i=0}^n \|t_i\|_{ts} & \text{if } t = f(t_1, \dots, t_n), \ n \geq 0 \\ t & \text{if } t \text{ is a variable} \end{cases}$$

E.g.,  $\|\mathbf{f}(\mathbf{a}, \mathbf{b})\|_{ts} = 2$ , but  $\|\mathbf{f}(\mathbf{X}, \mathbf{Y})\|_{ts} = 2 + \mathbf{X} + \mathbf{Y}$

## symbolic list-length norm

$$\|t\|_{\|} = \begin{cases} 1 + \|Xs\|_{\|} & \text{if } t = [X|Xs] \\ t & \text{if } t \text{ is a variable} \\ 0 & \text{otherwise} \end{cases}$$

E.g.,  $\|[1, \mathbf{X}]\|_{\|} = 2$ , but  $\|[1|\mathbf{X}]\|_{\|} = 1 + \mathbf{X}$

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# Size-change graph (parametric w.r.t. $(\asymp, \succ)$ )

We have a size-change graph for every pair

$$p(s_1, \dots, s_n) / q(t_1, \dots, t_m)$$

such that there is a program clause

$$p(s_1, \dots, s_n) \leftarrow \dots q(t_1, \dots, t_m) \dots$$

- **Nodes**

- output nodes:  $\{1_p, \dots, n_p\}$
- input nodes:  $\{1_q, \dots, m_q\}$

- **Edges**

- if  $s_i \succ t_j$  then  $i_p \xrightarrow{\succ} j_q$
- else if  $s_i \asymp t_j$  then  $i_p \xrightarrow{\asymp} j_q$

[otherwise there is no edge]

## Example: construction of size-change graphs

**incList([ ], \_, [ ]).**

**incList([X|R], I, L) ← iList(X, R, I, L).**

**iList(X, R, I, [XI|RI]) ← add(I, X, XI),  
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**add(0, Y, Y).**

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$\mathcal{G}_1 : \text{incList} \longrightarrow \text{iList}$



$\mathcal{G}_2 : \text{add} \longrightarrow \text{add}$



$\mathcal{G}_3 : \text{iList} \longrightarrow \text{add}$



$\mathcal{G}_4 : \text{iList} \longrightarrow \text{incList}$



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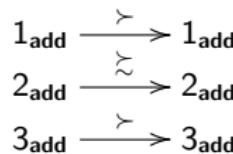
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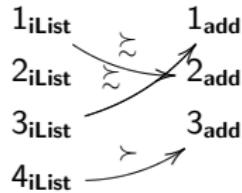
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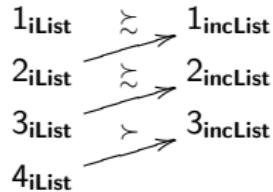
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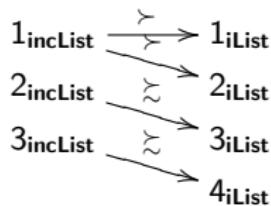
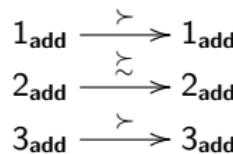
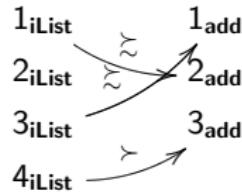
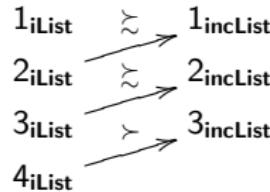
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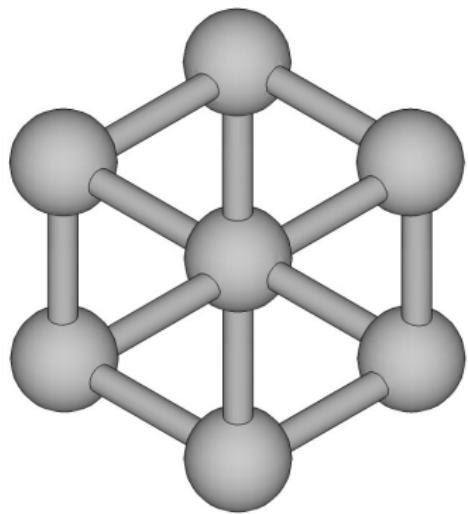
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# Computation of composition closure



# Composition of size-change graphs

If  $G = (\{1_p, \dots, n_p\}, \{1_q, \dots, m_q\}, E_1)$  and  
 $H = (\{1_q, \dots, m_q\}, \{1_r, \dots, w_r\}, E_2)$  are size-change graphs,  
then their composition is

$$G \cdot H = (\{1_p, \dots, n_p\}, \{1_r, \dots, w_r\}, E)$$

where

- ①  $(i_p \rightarrow k_r) \in E$  iff  $(i_p \rightarrow j_q) \in E_1$  and  $(j_q \rightarrow k_r) \in E_2$
- ② if one of the edges is labeled with  $\succ$  so is the new edge
- ③ otherwise, it is labeled with  $\gtrsim$

# Composition of size-change graphs

If  $G = (\{1_p, \dots, n_p\}, \{1_q, \dots, m_q\}, E_1)$  and  
 $H = (\{1_q, \dots, m_q\}, \{1_r, \dots, w_r\}, E_2)$  are size-change graphs,  
then their composition is

$$G \cdot H = (\{1_p, \dots, n_p\}, \{1_r, \dots, w_r\}, E)$$

where

- ①  $(i_p \rightarrow k_r) \in E$  iff  $(i_p \rightarrow j_q) \in E_1$  and  $(j_q \rightarrow k_r) \in E_2$
- ② if one of the edges is labeled with  $\succ$  so is the new edge
- ③ otherwise, it is labeled with  $\gtrsim$

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# Computing the composition closure

## Naive procedure

- ① initialize  $\mathcal{M}$  with the size-change graphs of the program
  - ② repeat
    - for every pair of graphs  $G, H \in \mathcal{M}$ , add  $G \cdot H$  to  $\mathcal{M}$
- until no new graphs are added to  $\mathcal{M}$

## Drawback

- computationally expensive (exponential time)

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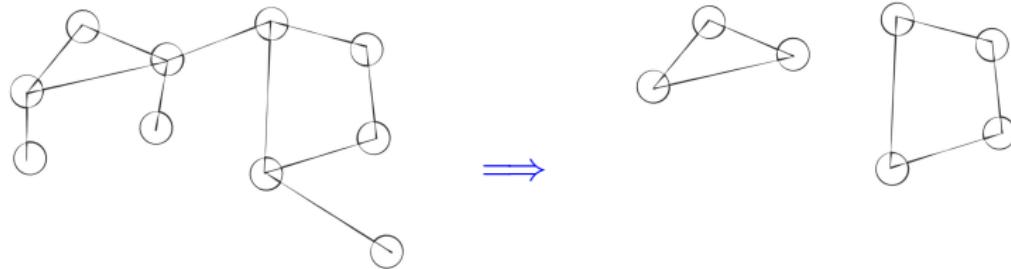
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Not all size-change graphs are needed (only those in a loop)

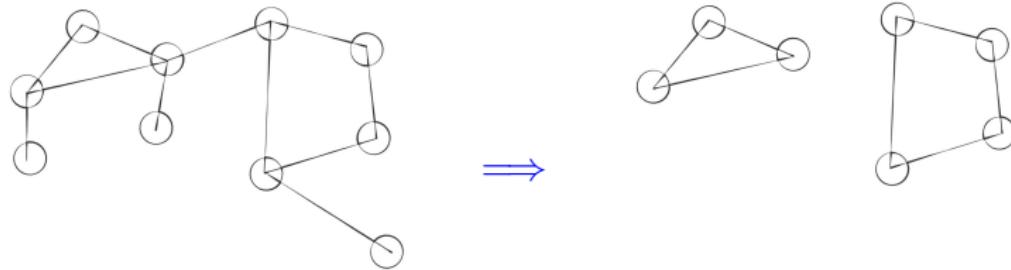


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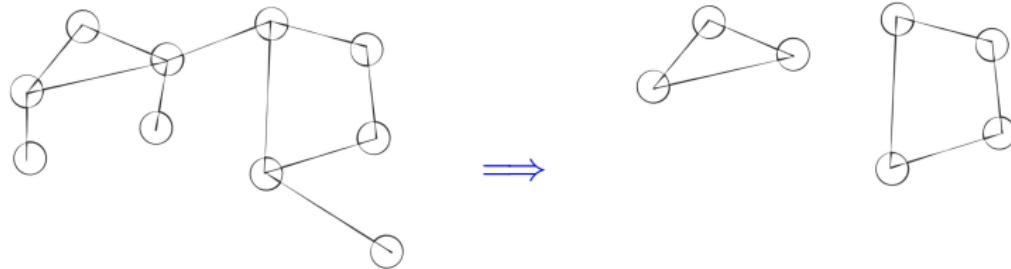


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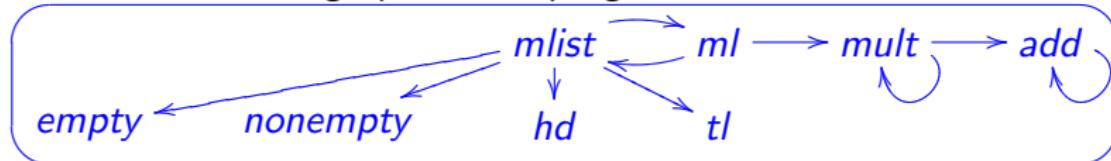
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# Identifying the program loops

$(c_1) \quad mlist(L, I, [ ]) \leftarrow empty(L).$   
 $(c_2) \quad mlist(L, I, LI) \leftarrow nonempty(L), hd(L, X), tl(L, R), ml(X, R, I, RI).$   
 $(c_3) \quad ml(X, R, I, [XI|RI]) \leftarrow mult(X, I, XI), \ ml(R, I, RI).$   
 $(c_4) \quad mult(0, Y, 0).$      $(c_5) \quad mult(s(X), Y, Z) \leftarrow mult(X, Y, Z1), \ add(Z1, Y, Z).$   
 $(c_6) \quad add(X, 0, X).$      $(c_7) \quad add(X, s(Y), s(Z)) \leftarrow add(X, Y, Z).$   
 $(c_8) \quad hd([X|_], X).$      $(c_9) \quad empty([ ]).$   
 $(c_{10}) \quad tl([_|-R], R).$      $(c_{11}) \quad nonempty([_|-]).$

- 1 construct the call graph of the program



- 2 compute its strongly connected components (SCC)
- 3 delete trivial SCCs (a single non-recursive node)
- 4 delete edges between SCCs



# Improved algorithm for composition closure

hazlo mas informal!!! (di las cosas con palabras...)

- ① **Input:** a program  $P$  and a cover set  $S \in CS(P)$
- ② **Initialisation:**  
 $i := 0; \quad \mathcal{M}_i := i\_sc\_graphs(P, S); \quad SC := sc\_graphs(P)$
- ③ **repeat**
  - $\mathcal{M}_{add} := \emptyset; \mathcal{M}_{del} := \emptyset$
  - for all  $\mathcal{G}_1 \in \mathcal{M}_i$  and  $\mathcal{G}_2 \in SC$  such that  $\mathcal{G}_1 \bullet \mathcal{G}_2$  is defined
    - ①  $\mathcal{G} := \mathcal{G}_1 \bullet \mathcal{G}_2$
    - ② if  $\exists \mathcal{H} \in (\mathcal{M}_i \cup \mathcal{M}_{add}) \setminus \mathcal{M}_{del}$  such that  $\mathcal{G} \sqsubseteq \mathcal{H}$  or  $\mathcal{H} \sqsubseteq \mathcal{G}$   
**then**  $\mathcal{M}_{add} := \mathcal{M}_{add} \cup \{\mathcal{G}\}$
    - ③ if  $\exists \mathcal{H} \in (\mathcal{M}_i \cup \mathcal{M}_{add}) \setminus \mathcal{M}_{del}$  such that  $\mathcal{G} \sqsubseteq \mathcal{H}$  **then**  $\mathcal{M}_{add} := \mathcal{M}_{add} \cup \{\mathcal{G}\}$  and  $\mathcal{M}_{del} := \mathcal{M}_{del} \cup \{\mathcal{H} \in (\mathcal{M}_i \cup \mathcal{M}_{add}) \setminus \mathcal{M}_{del} \mid \mathcal{G} \sqsubseteq \mathcal{H}\}$
  - $\mathcal{M}_{i+1} := (\mathcal{M}_i \cup \mathcal{M}_{add}) \setminus \mathcal{M}_{del}$
  - $i := i + 1$
- until**  $\mathcal{M}_i = \mathcal{M}_{i+1}$

## Transitive closure:

- compute all possible concatenations of graphs

### Example



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- compute all possible concatenations of graphs

### Example

$$\mathcal{G}_1 : \text{incList} \longrightarrow \text{iList} \quad \bullet \quad \mathcal{G}_4 : \text{iList} \longrightarrow \text{incList} \quad = \quad \mathcal{G}_{14} : \text{incList} \longrightarrow \text{incList}$$

The diagram illustrates the computation of the transitive closure  $\mathcal{G}_{14}$ . It consists of three parts separated by dots. The first part,  $\mathcal{G}_1$ , shows three red `incList` nodes (1, 2, 3) mapping to four blue `iList` nodes (1, 2, 3, 4) via arrows labeled  $\curvearrowright$ . The second part,  $\mathcal{G}_4$ , shows four blue `iList` nodes (1, 2, 3, 4) mapping to three red `incList` nodes (1, 2, 3) via arrows labeled  $\curvearrowright$ . The third part,  $\mathcal{G}_{14}$ , shows the resulting transitive closure where every `incList` node from the first part maps to every `incList` node in the second part via a sequence of arrows, representing concatenated paths.

# Identification of program loops

## maximal graph

A size-change graph  $G$  is maximal if

- ① its input and output nodes are the same
- ② it is idempotent, i.e.,  $G = G \cdot G$

**maximal graph  $\approx$  program loop**

# Example

**incList**([],  $\_$ , []).

**incList**([**X|R**], **I**, **L**)  $\leftarrow$  **iList**(**X**, **R**, **I**, **L**).

**iList**(**X**, **R**, **I**, [**XI|RI**])  $\leftarrow$  **add**(**I**, **X**, **XI**),  
**incList**(**R**, **I**, **RI**).

**add**(0, **Y**, **Y**).

**add**(**s(X)**, **Y**, **s(Z)**)  $\leftarrow$  **add**(**X**, **Y**, **Z**).

$$\mathcal{G}_{14} : \text{incList} \longrightarrow \text{incList}$$

$$\begin{array}{l} 1_{\text{incList}} \xrightarrow{\succ} 1_{\text{incList}} \\ 2_{\text{incList}} \xrightarrow{\approx} 2_{\text{incList}} \\ 3_{\text{incList}} \xrightarrow{\succ} 3_{\text{incList}} \end{array}$$

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$$\mathcal{G}_2 : \text{add} \longrightarrow \text{add}$$

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Size-change terminating! (acc. to [Lee, Jones, Ben-Amram, POPL 2001])

Too weak in logic programming...

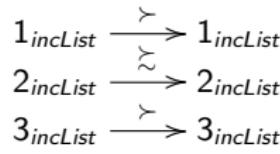
$$\begin{aligned} \text{add}(X, Y, Z) &\Rightarrow_{\{X \mapsto s(X'), Z \mapsto s(Z')\}} \text{add}(X', Y, Z') \\ &\Rightarrow_{\{X' \mapsto s(X''), Z' \mapsto s(Z'')\}} \text{add}(X'', Y, Z'') \end{aligned}$$

$$\begin{aligned} \text{add}(X', Y, Z') & \\ \text{add}(X'', Y, Z'') &\Rightarrow \infty \end{aligned}$$

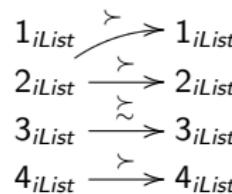
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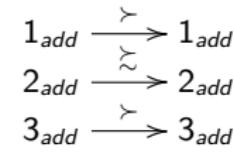
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# A fully automatic BTA



# Local termination

instantiated enough [Lindenstrauss, Sagiv, ICLP 1997]

Term  $t$  is instantiated enough w.r.t.  $\|\cdot\|$  if  $\|t\|$  is an integer

sufficient condition for termination

If every maximal graph for  $P$  contains at least one edge

$$i_p \xrightarrow{\succ} i_p$$

such that, in every possible call,  $p(t_1, \dots, t_n)$ , the argument  $t_i$  is instantiated enough w.r.t.  $\|\cdot\|$ , then  $P$  is terminating  
such that the  $i$ -th argument of  $p$  is classified as static, then  $P$  is terminating  
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Must ensure **quasi-termination** (only finitely many different atoms)

Basic algorithm:

- for every predicate  $p$  and for every maximal graph for  $p$ , either
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  - there is an edge to every argument (no matter the label)
- and the considered symbolic norm is **bounded**  
i.e., the set  $\{s \mid \|t\| \geq \|s\|\}$  is finite for any term  $t$

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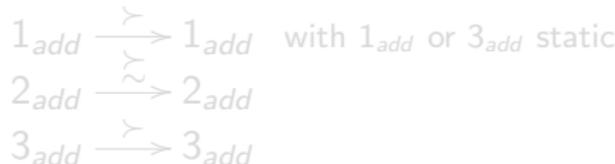
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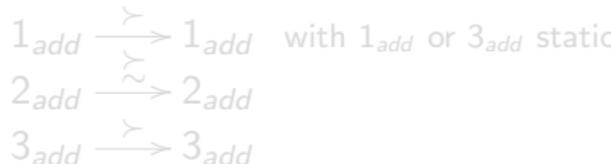
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## annotations for global termination

given a predicate  $p$  and an argument  $i$ :

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Furthermore, one should compute the lub w.r.t. the annotations computed by the algorithm for propagating static information

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## Example

$\text{incList}([], \_, [])$ .

$\text{incList}([X|R], I, L) \leftarrow \text{iList}(X, R, I, L)$ .

$\text{iList}(X, R, I, [XI|RI]) \leftarrow \text{add}(I, X, XI),$   
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$$\mathcal{G}_{14} : \text{incList} \longrightarrow \text{incList} \quad \mathcal{G}_{41} : \text{iList} \longrightarrow \text{iList} \quad \mathcal{G}_2 : \text{add} \longrightarrow \text{add}$$

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① User's input:  $\text{incList}(\text{dynamic}, \text{static}, \text{dynamic})$

② size-change analysis:

- local termination: depends on the binding-times...
- global termination: all arguments static

③ Propagation of BTs:  $\text{incList}(D, S, D)$ ,  $\text{iList}(D, D, S, D)$ ,  $\text{add}(S, D, D)$

- local termination:  $\text{incList} \mapsto \text{memo}$ ,  $\text{iList} \mapsto \text{memo}$ ,  $\text{add} \mapsto \text{unfold}$

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- local termination: depends on the binding-times...
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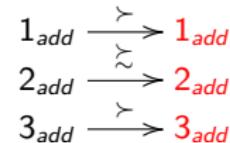
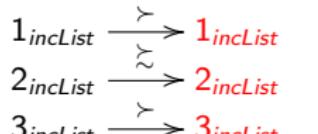
③ Propagation of BTs:  $\text{incList}(D, S, D)$ ,  $\text{iList}(D, D, S, D)$ ,  $\text{add}(S, D, D)$

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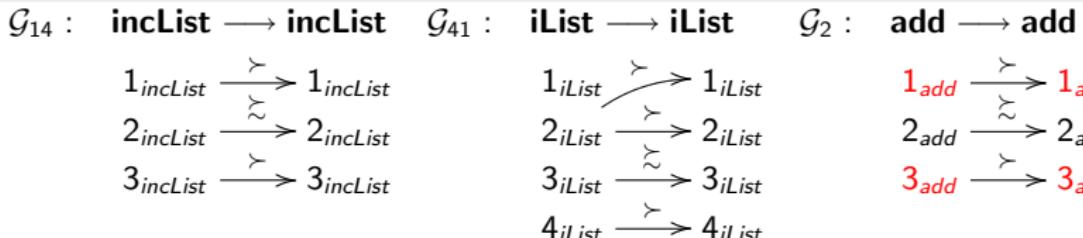
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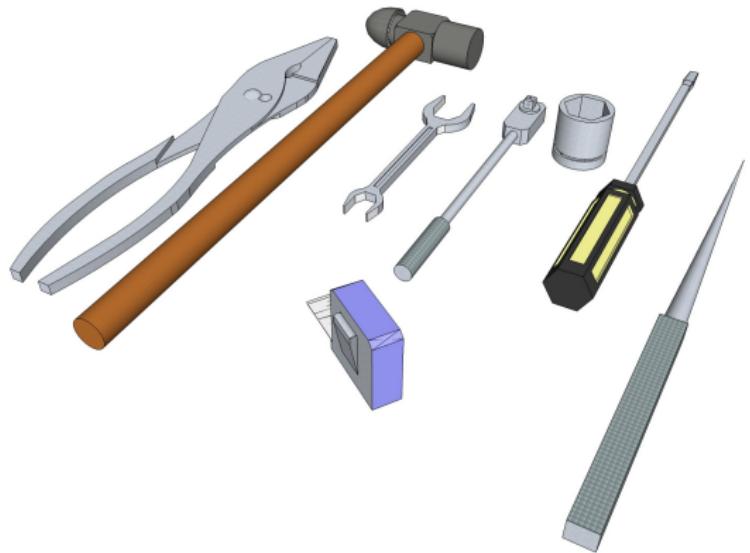
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# Some practical considerations



# Concluding remarks

Very fast BTA, scales well to medium-sized Prolog programs

Ensures both local and global termination

Less accurate than previous BTA...

Much room for improvement:

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- hybrid approach: replace **memo** and **dynamic** with **online** and use online techniques for them

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